

030204

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U.S. PTO

PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	HED.P.US0006
First Inventor	Michael A. Herold
Title	Simulated Neon-Light Tube
Express Mail Label No.	EL 726092255 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 16]   
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings *(if filed)*  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Sheets 3]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  Paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*  
 11.  English Translation Document *(if applicable)*  
 12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*  
 15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*  
 16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
 17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: 10/158,087.....

Prior application information: Examiner Zeade, Bertrand Art Unit: 2875  
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: 26360      OR       Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Rodney L. Skoglund	Registration No. (Attorney/Agent)	36,010
Signature	<u>Rodney L. Skoglund</u>		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/792249  
D.S.P.T.O.  
030204



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 394.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Michael A. Herold
Examiner Name	
Art Unit	
Attorney Docket No.	HED.P.US0006

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account Number	18-0987
Deposit Account Name	Renner Kenner Greive Boba

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1001	770	2001	385		385	Utility filing fee	385
1002	340	2002	170			Design filing fee	
1003	530	2003	265			Plant filing fee	
1004	770	2004	385			Reissue filing fee	
1005	160	2005	80			Provisional filing fee	
SUBTOTAL (1)		(\$ 385)					

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-20** = 1	X 9	= 9
Independent Claims			
Multiple Dependent			

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	
1202	18	2202	9		Claims in excess of 20		
1201	86	2201	43		Independent claims in excess of 3		
1203	290	2203	145		Multiple dependent claim, if not paid		
1204	86	2204	43		** Reissue independent claims over original patent		
1205	18	2205	9		** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)		(\$ 9)					

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee	Small Entity	Fee Code (\$)	Fee	Fee Description	Fee Paid
1051	130	2051	65		Surcharge - late filing fee or oath		
1052	50	2052	25		Surcharge - late provisional filing fee or cover sheet		
1053	130	1053	130		Non-English specification		
1812	2,520	1812	2,520		For filing a request for ex parte reexamination		
1804	920*	1804	920*		Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805	1,840*		Requesting publication of SIR after Examiner action		
1251	110	2251	55		Extension for reply within first month		
1252	420	2252	210		Extension for reply within second month		
1253	950	2253	475		Extension for reply within third month		
1254	1,480	2254	740		Extension for reply within fourth month		
1255	2,010	2255	1,005		Extension for reply within fifth month		
1401	330	2401	165		Notice of Appeal		
1402	330	2402	165		Filing a brief in support of an appeal		
1403	290	2403	145		Request for oral hearing		
1451	1,510	1451	1,510		Petition to institute a public use proceeding		
1452	110	2452	55		Petition to revive - unavoidable		
1453	1,330	2453	665		Petition to revive - unintentional		
1501	1,330	2501	665		Utility issue fee (or reissue)		
1502	480	2502	240		Design issue fee		
1503	640	2503	320		Plant issue fee		
1460	130	1460	130		Petitions to the Commissioner		
1807	50	1807	50		Processing fee under 37 CFR 1.17(q)		
1806	180	1806	180		Submission of Information Disclosure Stmt		
8021	40	8021	40		Recording each patent assignment per property (times number of properties)		
1809	770	2809	385		Filing a submission after final rejection (37 CFR 1.129(a))		
1810	770	2810	385		For each additional invention to be examined (37 CFR 1.129(b))		
1801	770	2801	385		Request for Continued Examination (RCE)		
1802	900	1802	900		Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Rodney L. Skoglund	Registration No. (Attorney/Agent)	36,010	Telephone	330-376-1242
Signature	Rodney L. Skoglund			Date	March 2, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of )  
Michael A. Herold )  
Serial No. )  
Filed )  
For SIMULATED NEON-LIGHT )  
TUBE )

**Certificate of Mailing**  
I hereby certify that this correspondence was deposited  
with the United States Postal Service as Express mail in  
an envelope addressed to: MAIL STOP PATENT  
APPLICATION, Commissioner of Patents, P.O. Box  
1450, Alexandria, VA 22313-1450 on this 2<sup>nd</sup> day of  
March, 2004.

*Melinda A. Miller*  
Melinda A. Miller, Sec'y to Rodney L. Skoglund  
Express Mail Label No. EL 726092255 US

NONPUBLICATION REQUEST PURSUANT TO 37 CFR § 1.213

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-140

Sir:

The Applicant , through his attorney, hereby requests that the above-captioned application filed herewith not be published under 35 U.S.C. § 122(b). The Applicant certifies that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

*Rodney J. Skoglund*  
Rodney J. Skoglund, Reg. No. 36,010  
Renner, Kenner, Greive, Bobak, Taylor & Weber  
First National Tower  
Fourth Floor  
Akron, Ohio 44308-1456  
Telephone: (330) 376-242

Attorney for Applicant

Dated: March 2, 2004